

**Complex Collective Decisions**  
**and the Probability of Collective Inconsistencies**

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NUFFIELD COLLEGE WORKING PAPER IN ECONOMICS 2001-W23  
 13 NOVEMBER 2001

**Abstract.** Many groups are required to make collective decisions over multiple interconnected propositions. The “doctrinal paradox” or “discursive dilemma” shows that propositionwise majority voting can lead to inconsistent collective outcomes, even when the judgments of individual group members are consistent. How likely is the occurrence of this paradox? This paper develops a simple model for determining the probability of the paradox’s occurrence, given various assumptions about the probability of different individual judgments. Several convergence results will be proved, identifying conditions under which the probability of the paradox’s occurrence converges to certainty as the number of individuals increases, and conditions under which that probability vanishes. The present model will also be used for assessing the “truth-tracking” performance of two escape-routes from the paradox, the premise- and conclusion-based procedures. Finally, the results on the probability of the doctrinal paradox will be compared with existing results on the probability of Condorcet’s paradox of cyclical preferences. It will be suggested that the doctrinal paradox is more likely to occur than Condorcet’s paradox.

A new paradox of aggregation, the “doctrinal paradox” or “discursive dilemma”, has been the subject of a growing body of literature in the fields of law, economics and philosophy (Kornhauser and Sager 1986; Kornhauser 1992; Kornhauser and Sager 1993; Chapman 1998; Brennan 2001; Pettit 2001; List and Pettit 2002a, 2002b; Chapman 2001a, 2001b; Bovens and Rabinowicz 2001). A simple example illustrates the problem. Suppose that a panel of three judges has to decide on whether a defendant is liable under a charge of breach of contract. Legal doctrine requires that the court should find that the defendant is liable (proposition  $R$ ) if and only if it finds, first, that the contract was valid (proposition  $P$ ), and, second, that the defendant’s behaviour amounted to a breach of that contract (proposition  $Q$ ). Thus legal doctrine stipulates the connection rule ( $R \leftrightarrow (P \wedge Q)$ ). Suppose the opinions of the three judges are as in table 1.

**Table 1: The Doctrinal Paradox (Conjunctive Version)**

	$P$	$Q$	$(R \leftrightarrow (P \wedge Q))$	$R$
Judge 1	Yes	Yes	Yes	Yes
Judge 2	Yes	No	Yes	No
Judge 3	No	Yes	Yes	No
Majority	Yes	Yes	Yes	No

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All judges accept the connection rule,  $(R \leftrightarrow (P \wedge Q))$ . Further, judge 1 accepts both  $P$  and  $Q$  and, by implication,  $R$ . Judges 2 and 3 each accept only one of  $P$  or  $Q$  and, by implication, they both reject  $R$ . If the court applies majority voting on each proposition, it faces a paradoxical outcome. A majority accepts  $P$ , a majority accepts  $Q$ , and yet a majority rejects  $R$ , in spite of the unanimous acceptance of  $(R \leftrightarrow (P \wedge Q))$ . Propositionwise majority voting yields an inconsistent collective set of judgments.<sup>2</sup>

Pettit (2001) has argued that the paradox occurs not only in the context of aggregation of judgments according to legal doctrine, but that it poses a much more general “discursive dilemma”, which any group may face when it seeks to form collective judgments on the basis of reasons. Versions of the problem may arise, for example, when a committee has to make a decision that involves the resolution of several premises; or when a political party or interest group seeks to come up with an entire policy package, where such a package consists of several interconnected propositions. Although the label “doctrinal paradox” will be used here, the more general nature of the problem should be kept in mind.

How likely is the occurrence of this paradox? The aim of the present paper is to give a theoretical answer to this narrow, but important, question. Inevitably, a large range of other important questions raised by the doctrinal paradox cannot be addressed here. In section 1, necessary and sufficient conditions for the occurrence of the paradox will be identified. In section 2, a probability-theoretic model will be developed for determining the probability of its occurrence, given various assumptions about the probability that individuals hold different sets of judgments. Some convergence results will be proved, identifying conditions under which the probability of the paradox’s occurrence converges to 1 as the number of individuals increases, and conditions under which that probability converges to 0. In section 3, two frequently discussed escape-routes from the paradox, the premise- and conclusion-based procedures of decision-making, will be discussed, and, following a recent paper by Bovens and Rabinowicz (2001), their performance in terms of tracking the “truth” will be investigated. The present model yields alternative proofs of some of the results by Bovens and Rabinowicz. It will also be shown that, under certain conditions, if each individual is better than random at tracking the “truth” on each of the

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<sup>2</sup> The doctrinal paradox is related to Anscombe’s paradox, or Ostrogorski’s paradox, as these paradoxes, like the doctrinal paradox, are concerned with aggregation over multiple propositions (Anscombe 1976; Kelly 1989; Brams, Kilgour and Zwicker 1997). Unlike the doctrinal paradox, however, they do not explicitly incorporate logical connections between the relevant multiple propositions.

premises, but not very good at it, then the probability of the occurrence of the doctrinal paradox converges to 1 as the number of individuals increases. Section 4 addresses possible extensions and generalizations of the present results. And, in section 5, finally, the present results on the probability of the doctrinal paradox will be compared with existing results on the probability of cycles in the realm of preference aggregation. The paper will show that, under plausible assumptions, the doctrinal paradox is more likely to occur than Condorcet's paradox of cyclical preferences. These findings should underline that the doctrinal paradox and its escape-routes deserve attention.

### 1. Necessary and Sufficient Conditions for the Occurrence of the Paradox

Suppose that there are  $n$  individuals and three propositions,  $P$ ,  $Q$  and  $R$ . Suppose further that all individuals accept the connection rule ( $R \leftrightarrow (P \wedge Q)$ ). Admitting only consistent individual sets of judgments over  $P$ ,  $Q$  and  $R$ , there are 4 logically possible sets of judgments an individual might hold, as shown in table 2.<sup>3</sup>

**Table 2: All logically possible consistent sets of judgments over  $P$ ,  $Q$  and  $R$ , given ( $R \leftrightarrow (P \wedge Q)$ )**

Label	Judgment on $P$	Judgment on $Q$	Judgment on $R$
$PQ$	Yes	Yes	Yes
$P\neg Q$	Yes	No	No
$\neg PQ$	No	Yes	No
$\neg P\neg Q$	No	No	No

Let  $n_{PQ}$ ,  $n_{P\neg Q}$ ,  $n_{\neg PQ}$ ,  $n_{\neg P\neg Q}$  be the numbers of individuals holding the sets of judgments  $PQ$ ,  $P\neg Q$ ,  $\neg PQ$ ,  $\neg P\neg Q$ , respectively. A collective inconsistency (a "doctrinal paradox") will occur if and only if there are majorities for each of  $P$  and  $Q$ , and there is a majority against  $R$ . If there are ties, we allow that these may be broken in whichever way collective consistency requires. Thus the following proposition holds.

<sup>3</sup> I make no empirical claims as to whether or not it is plausible to assume that individuals hold consistent sets of judgments. For the present purposes, it is sufficient to note that admitting only consistent individual sets of judgments makes the occurrence of inconsistent collective sets of judgments less likely rather than more likely. If we can still show that, in a large class of cases, collective inconsistencies will occur, then the argument will effectively have been strengthened rather than weakened by the exclusion of inconsistent individual sets of judgments.

**Proposition 1.** *Given the connection rule ( $R \leftrightarrow (P \wedge Q)$ ), there will be a collective inconsistency under propositionwise majority voting if and only if ( $n_{PQ} + n_{P-Q} > n/2$ ) and ( $n_{-PQ} + n_{-P-Q} > n/2$ ) and ( $n_{PQ} < n/2$ ).*

## 2. A Probability-Theoretic Framework

To study the likelihood of the occurrence of collective inconsistencies, we assume that (i) each individual has probabilities  $p_{PQ}, p_{P-Q}, p_{-PQ}, p_{-P-Q}$  of holding the sets of judgments  $PQ, P-Q, -PQ, -P-Q$ , respectively (where  $p_{PQ} + p_{P-Q} + p_{-PQ} + p_{-P-Q} = 1$ ); and (ii) the judgments of different individuals are independent from each other.<sup>4</sup> An *impartial culture* is the situation of perfect equiprobability across all logically possible sets of judgments, i.e.  $p_{PQ} = p_{P-Q} = p_{-PQ} = p_{-P-Q}$ . The function for calculating the probability of each logically possible combination of individual sets of judgments is stated in appendix 1.

Table 3 shows the probability that there will be a collective inconsistency under propositionwise majority voting for various values of  $p_{PQ}, p_{P-Q}, p_{-PQ}, p_{-P-Q}$  and various values of  $n$ , where the connection rule is ( $R \leftrightarrow (P \wedge Q)$ ).

**Table 3: Probability that there will be a collective inconsistency under propositionwise majority voting (given ( $R \leftrightarrow (P \wedge Q)$ )), for various scenarios**

	Scenario 1 $p_{PQ} = 0.25$ $p_{P-Q} = 0.25$ $p_{-PQ} = 0.25$ $p_{-P-Q} = 0.25$	Scenario 2 $p_{PQ} = 0.26$ $p_{P-Q} = 0.25$ $p_{-PQ} = 0.25$ $p_{-P-Q} = 0.24$	Scenario 3 $p_{PQ} = 0.3$ $p_{P-Q} = 0.25$ $p_{-PQ} = 0.25$ $p_{-P-Q} = 0.2$	Scenario 4 $p_{PQ} = 0.24$ $p_{P-Q} = 0.27$ $p_{-PQ} = 0.25$ $p_{-P-Q} = 0.24$	Scenario 5 $p_{PQ} = 0.49$ $p_{P-Q} = 0.2$ $p_{-PQ} = 0.2$ $p_{-P-Q} = 0.11$	Scenario 6 $p_{PQ} = 0.51$ $p_{P-Q} = 0.2$ $p_{-PQ} = 0.2$ $p_{-P-Q} = 0.09$	Scenario 7 $p_{PQ} = 0.55$ $p_{P-Q} = 0.2$ $p_{-PQ} = 0.2$ $p_{-P-Q} = 0.05$	Scenario 8 $p_{PQ} = 0.33$ $p_{P-Q} = 0.33$ $p_{-PQ} = 0.33$ $p_{-P-Q} = 0.01$
$n = 3$	0.0938	0.0975	0.1125	0.0972	0.1176	0.1224	0.1320	0.2156
$n = 11$	0.2157	0.2365	0.3211	0.2144	0.3570	0.3432	0.2990	0.6188
$n = 31$	0.2487	0.2946	0.4979	0.2409	0.5183	0.4420	0.2842	0.9104
$n = 51$	0.2499	0.3101	0.5815	0.2405	0.5525	0.4414	0.2358	0.9757
$n = 71$	$\approx 0.2500$	0.3216	0.6417	0.2393	0.5663	0.4327	0.1983	0.9930
$n = 101$	$\approx 0.2500$	0.3362	0.7113	0.2375	0.5798	0.4201	0.1562	0.9989
$n = 201$	$\approx 0.2500$	0.3742	0.8511	0.2317	0.6118	0.3882	0.0774	$\approx 1.0000$
$n = 501$	$\approx 0.2500$	0.4527	0.9754	0.2149	0.6729	0.3271	0.0124	$\approx 1.0000$
$n = 1001$	$\approx 0.2500$	0.5426	0.9985	0.1897	0.7366	0.2634	0.0008	$\approx 1.0000$
$n = 1501$	$\approx 0.2500$	0.6097	0.9999	0.1676	0.7808	0.2192	0.0001	$\approx 1.0000$

<sup>4</sup> The simplifications implicit in these assumptions follow the classical Condorcet jury theorem. Specifically, we assume (i) identical probabilities for different individuals, and (ii) independence between different individuals. However, it is known in the literature on the Condorcet jury theorem that the types of convergence mechanisms based on the law of large numbers invoked in the present paper apply, with certain modifications, also when probabilities vary across individuals and when there are certain dependencies. See in particular Grofman, Owen and Feld (1983) and Borland (1989).

Note that slight differences in the probabilities that individuals hold the different possible sets of judgments correspond to substantial differences in the resulting probability that a collective inconsistency will occur under propositionwise majority voting. In the special case of an impartial culture (scenario 1), the probability of the occurrence of a collective inconsistency appears to converge to 0.25 as the number of individuals increases.<sup>5</sup> Slight deviations from an impartial culture, however, entail a completely different convergence pattern. This is confirmed by the following convergence results, proved in appendix 3.

**Proposition 2.** *Let the connection rule be  $(R \leftrightarrow (P \wedge Q))$ .*

- (a) *Suppose  $(p_{PQ} + p_{P\bar{Q}} > 1/2)$  and  $(p_{PQ} + p_{\bar{P}Q} > 1/2)$  and  $(p_{PQ} < 1/2)$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.*
- (b) *Suppose  $(p_{PQ} + p_{P\bar{Q}} < 1/2)$  or  $(p_{PQ} + p_{\bar{P}Q} < 1/2)$  or  $(p_{PQ} > 1/2)$ . Then the probability of a collective inconsistency (given under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

Scenarios 2, 3, 5 and 8 in table 3 satisfy the conditions of proposition 2a, and scenarios 4, 6 and 7 satisfy the conditions of proposition 2b. The numerical values in table 3 thus provide illustrations of the convergence mechanisms identified by proposition 2.

The convergence results are effectively a consequence of the law of large numbers. If  $p_{PQ}$ ,  $p_{P\bar{Q}}$ ,  $p_{\bar{P}Q}$ ,  $p_{\bar{P}\bar{Q}}$  are the probabilities that an individual holds the sets of judgments  $PQ$ ,  $P\bar{Q}$ ,  $\bar{P}Q$ ,  $\bar{P}\bar{Q}$ , respectively, then  $np_{PQ}$ ,  $np_{P\bar{Q}}$ ,  $np_{\bar{P}Q}$ ,  $np_{\bar{P}\bar{Q}}$  are the expected numbers of these sets of judgments across  $n$  individuals, and  $p_{PQ}$ ,  $p_{P\bar{Q}}$ ,  $p_{\bar{P}Q}$ ,  $p_{\bar{P}\bar{Q}}$  are the expected frequencies (i.e. the expected numbers divided by  $n$ ). If  $n$  is small, the actual frequencies may differ substantially from the expected ones, but as  $n$  increases, the actual frequencies will approximate the expected ones increasingly closely. In particular, if the probabilities  $p_{PQ}$ ,  $p_{P\bar{Q}}$ ,  $p_{\bar{P}Q}$ ,  $p_{\bar{P}\bar{Q}}$  satisfy a set of strict inequalities, the actual frequencies (and by implication the actual numbers) are increasingly likely to satisfy a matching set of strict inequalities. But if these are the inequalities corresponding to the occurrence or absence of a collective inconsistency (compare proposition 1), this means that the probability of the occurrence or absence of such an inconsistency will converge

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<sup>5</sup> To avoid complications raised by ties, we here assume that the number of individuals is odd.

to certainty. The described mechanism will be used to prove other convergence results below. Lemma 1 in appendix 3 captures the mechanism formally.

### 3. Voting for the Premises Versus Voting for the Conclusion

Premise-based and conclusion-based procedures of decision-making have been proposed as possible escape-routes from the doctrinal paradox (see, for example, Pettit 2001). According to the premise-based procedure, the group applies majority voting on propositions  $P$  and  $Q$ , the “premises”, but not on proposition  $R$ , the “conclusion”, and lets the connection rule,  $(R \leftrightarrow (P \wedge Q))$ , dictate the collective judgment on  $R$ , effectively ignoring the majority verdict on it. Given the individual judgments in table 1, the premise-based procedure leads to the collective acceptance of  $P$  and  $Q$  and, by implication,  $R$ . According to the conclusion-based procedure, the group applies majority voting only on  $R$ , but not on  $P$  and  $Q$ , thereby effectively ignoring the majority verdicts on these propositions. Given the individual judgments in table 1, the conclusion-based procedure leads to the collective rejection of  $R$ . This illustrates that the premise-based and conclusion-based procedures may produce divergent outcomes.

In a recent paper, Bovens and Rabinowicz (2001) have compared the epistemic performance of the two procedures (see also Pettit and Rabinowicz 2001). Supposing – in the framework of the Condorcet jury theorem – that there is an independent fact of the matter on whether each of  $P$  and  $Q$  is true (and, by implication, on whether  $R$  is true), they study the likelihood that the premise- and conclusion-based procedures reach the correct decision on  $R$ . In this section, the Condorcet jury framework will be connected with the present probability-theoretic framework, and the implications of the Condorcet jury assumptions for the probability of collective inconsistencies will be discussed. I will also present alternative proofs of some of the results by Bovens and Rabinowicz, including convergence results (some of them in appendix 3).<sup>6</sup>

Following Bovens and Rabinowicz, we assume (i) that each individual has probabilities  $p$  and  $q$  of making a correct judgment on  $P$  and  $Q$ , respectively, where  $p, q > 0.5$  (informally, these probabilities are interpreted as the “competence” of the individual); (ii)

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<sup>6</sup> For an informal discussion of conjunction problems in a Condorcet jury framework, see also Levmore (2001).

each individual's judgments on  $P$  and  $Q$  are independent from each other; (iii) the judgments of different individuals are independent from each other.

Suppose the truth-values of  $P$  and  $Q$  are fixed (though not necessarily known). Then the values of  $p$  and  $q$  induce corresponding values of  $p_{PQ}$ ,  $p_{P\bar{Q}}$ ,  $p_{\bar{P}Q}$ ,  $p_{\bar{P}\bar{Q}}$ . In other words, from the probabilities corresponding to each individual's decisions on  $P$  and  $Q$ , we can infer the probabilities corresponding to each individual's holding each of the sets of judgments  $PQ$ ,  $P\bar{Q}$ ,  $\bar{P}Q$ ,  $\bar{P}\bar{Q}$ . The four possible cases are shown in table 4.

**Table 4:**  $p_{PQ}$ ,  $p_{P\bar{Q}}$ ,  $p_{\bar{P}Q}$ ,  $p_{\bar{P}\bar{Q}}$  as derived from  $p$  and  $q$

	$P$	$Q$	$p_{PQ}$	$p_{P\bar{Q}}$	$p_{\bar{P}Q}$	$p_{\bar{P}\bar{Q}}$
Case 1	true	true	$pq$	$p(1-q)$	$(1-p)q$	$(1-p)(1-q)$
Case 2	true	false	$p(1-q)$	$pq$	$(1-p)(1-q)$	$(1-p)q$
Case 3	false	true	$(1-p)q$	$(1-p)(1-q)$	$pq$	$p(1-q)$
Case 4	false	false	$(1-p)(1-q)$	$(1-p)q$	$p(1-q)$	$pq$

**Proposition 3.** Let the connection rule be  $(R \leftrightarrow (P \wedge Q))$ .

(a) Suppose  $P$  and  $Q$  are true.

- Suppose  $0.5 < p, q < \sqrt{0.5}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.
- Suppose  $p, q > \sqrt{0.5}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.

(b) Suppose that not both  $P$  and  $Q$  are true and  $p, q > 0.5$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.

See appendix 3 for a proof. Bovens and Rabinowicz distinguish between reaching the truth for the right reasons, and reaching it regardless of reasons. Reaching the truth for the right reasons requires deducing the correct decision on the conclusion from correct decisions on each of the premises, whereas reaching the truth regardless of reasons includes the possibility of reaching the correct decision on the conclusion accidentally, while making a wrong decision on at least one of the premises.

Table 5 shows the conditions, in terms of the present framework, under which the premise- and conclusion-based procedures reach the correct decision on  $R$  (i) regardless of reasons and (ii) for the right reasons, for different truth-values of  $P$  and  $Q$ .

**Table 5: Conditions under which the premise- and conclusion-based procedures reach the correct decision on  $R$  (given  $(R \leftrightarrow (P \wedge Q))$ ) (i) regardless of reasons and (ii) for the right reasons, for different truth-values of  $P$  and  $Q$**

$P$	$Q$	Premise-based procedure reaches correct decision on $R$		Conclusion-based procedure reaches correct decision on $R$	
		regardless of reasons if and only if ...	for the right reasons if and only if ...	regardless of reasons if and only if ...	for the right reasons if and only if ...
true	true	there are majorities for each of $P$ and $Q$ i.e. $(n_{PQ} + n_{P-Q} > n/2)$ and $(n_{PQ} + n_{-PQ} > n/2)$ <b>(1)</b>		there is a single majority supporting both $P$ and $Q$ i.e. $n_{PQ} > n/2$ <b>(2)</b>	
true	false	there are not majorities for each of $P$ and $Q$ i.e. $(n_{PQ} + n_{P-Q} < n/2)$ or $(n_{PQ} + n_{-PQ} < n/2)$ <b>(3)</b>	there is a majority for $P$ and a majority against $Q$ i.e. $(n_{PQ} + n_{P-Q} > n/2)$ and $(n_{P-Q} + n_{-P-Q} > n/2)$ <b>(4)</b>	there is not a single majority supporting both $P$ and $Q$ i.e. $n_{PQ} < n/2$ <b>(7)</b>	there is a single majority supporting $P$ and rejecting $Q$ i.e. $n_{P-Q} > n/2$ <b>(8)</b>
false	true		there is a majority against $P$ and a majority for $Q$ i.e. $(n_{-PQ} + n_{-P-Q} > n/2)$ and $(n_{PQ} + n_{-PQ} > n/2)$ <b>(5)</b>		there is a single majority rejecting $P$ and supporting $Q$ i.e. $n_{-PQ} > n/2$ <b>(9)</b>
false	false		there are majorities against each of $P$ and $Q$ i.e. $(n_{-PQ} + n_{-P-Q} > n/2)$ and $(n_{P-Q} + n_{-P-Q} > n/2)$ <b>(6)</b>		there is a single majority rejecting both $P$ and $Q$ i.e. $n_{-P-Q} > n/2$ <b>(10)</b>

Bovens and Rabinowicz show in detail that the premise-based procedure is always better at reaching the correct decision on  $R$  for the right reasons, whereas the conclusion-based procedure may sometimes be better at reaching it regardless of reasons. Some of these results can be derived from table 5.

- Suppose we are concerned with reaching the correct decision on  $R$  for the right reasons. To compare the two procedures, we need to compare the relevant conditions corresponding to the four logically possible combinations of truth-values on  $P$  and  $Q$ . Condition (2) implies condition (1), condition (8) implies condition (4), condition (9) implies condition (5), and condition (10) implies condition (6). Hence the premise-based procedure is always at least as good as the conclusion-based procedure in terms of reaching the correct decision on  $R$  for the right reasons.
- Suppose we are concerned with reaching the correct decision on  $R$  regardless of reasons. Here we need to distinguish two cases.
  - Suppose both  $P$  and  $Q$  are true. Again, condition (2) implies condition (1), and hence the premise-based procedure is always at least as good as the conclusion-based procedure in terms of reaching the correct decision on  $R$  regardless of reasons.
  - Suppose not both  $P$  and  $Q$  are true. Here condition (3) implies condition (7), and hence the conclusion-based procedure is always at least as good as the premise-based procedure in terms of reaching the correct decision on  $R$  regardless of reasons.<sup>7</sup>

Appendix 2 shows, in terms of the present framework, how to calculate the probabilities that, for a fixed number of individuals  $n$  and fixed truth-values of  $P$  and  $Q$ , the premise- and conclusion-based procedures reach the correct decision on  $R$  (i) regardless of reasons and (ii) for the right reasons.

The results by Bovens and Rabinowicz also imply several results on the convergence of these probabilities as the number of individuals increases. The present framework provides alternative proofs of some of these results, given in appendix 3.

**Proposition 4 (see also Bovens and Rabinowicz 2001).** *Let the connection rule be  $(R \leftrightarrow (P \wedge Q))$ . The probabilities, as  $n$  tends to infinity, that the premise- and conclusion-based procedures reach a correct decision on  $R$  (i) regardless of reasons and (ii) for the right reasons, under various scenarios, are as shown in table 6.*

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<sup>7</sup> These results are compatible with results by Grofman (1985) showing that, when a group decision on a conjunctive composite proposition can be disaggregated into separate group decisions on each of the conjuncts, disaggregation is superior in terms of reaching the correct decision (regardless of reasons) for true propositions, but not for false decisions.

**Table 6: Probability, as  $n$  tends to infinity, of a correct decision on  $R$  (given  $(R \leftrightarrow (P \wedge Q))$ ) under the premise- and conclusion based procedures (i) regardless of reasons and (ii) for the right reasons, under various scenarios**

	Premise-based procedure: Probability, as $n$ tends to infinity, of ...		Conclusion-based procedure: Probability, as $n$ tends to infinity, of ...			
	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons		
$0.5 < p, q < \sqrt{(0.5)}$ $P$ and $Q$ both true	1		0			
$0.5 < p, q < \sqrt{(0.5)}$ not both $P$ and $Q$ true			1	0		
$p, q > \sqrt{(0.5)}$			1			
			(b)	(c)	(d)	(e)

Note that, for a large class of conditions, the performance of the conclusion-based procedure is poor. If we are concerned with tracking the “truth” for the right reasons, the probability that the conclusion-based procedure will be successful will always converge to 0 as the number of individuals increases, unless the competence of individuals exceeds  $\sqrt{(0.5)}$ . If we are concerned with tracking the “truth” regardless of reasons, then the probability that the conclusion-based procedure will be successful will still converge to 0, unless at least one of the premises is false. By contrast, the probability that the premise-based procedure tracks the “truth”, both for the right reasons and regardless of reasons, will converge to 1 as soon as the competence of individuals is above 0.5.<sup>8</sup>

#### 4. Extensions and Generalizations

So far we have discussed only one specific version of a problem of aggregation over multiple interconnected propositions, namely the conjunctive version of the doctrinal paradox, where the conjunction of two premises is a necessary and sufficient condition for a conclusion. It is known that the paradox can be generalized. Disjunctive versions of the paradox have been discussed, as well as extensions to more than two propositions

<sup>8</sup> Note, however, that when  $P$  and  $Q$  are not both true, then the probability that the conclusion-based procedure reaches the correct decision on  $R$  regardless of reasons converges to 1 *faster* than the probability that the premise-based procedure reaches the correct decision on  $R$  regardless of reasons. This follows from the fact (remarked above) that condition (3) in table 5 implies condition (7), whereas the converse implication does not hold.

(see, amongst others, Chapman 1998 and Pettit 2001). Moreover, for any system of multiple propositions with certain logical interconnections, collective inconsistencies under propositionwise majority voting are possible.<sup>9</sup> The aim of the present section is to illustrate that the present method of determining the probability of collective inconsistencies under propositionwise majority voting is applicable to other problems of aggregation over multiple propositions too. I will discuss two applications of the method, first an application to the disjunctive version of the doctrinal paradox, and second an application to the conjunctive version of the paradox with more than two premises.

#### 4.1. The Disjunctive Version of the Doctrinal Paradox

**Table 7: The Doctrinal Paradox (Disjunctive Version)**

	$P$	$Q$	$(R \leftrightarrow (P \vee Q))$	$R$
Judge 1	Yes	No	Yes	Yes
Judge 2	No	Yes	Yes	Yes
Judge 3	No	No	Yes	No
Majority	No	No	Yes	Yes

In the disjunctive version of the doctrinal paradox, there are two premises,  $P$  and  $Q$  (e.g. “there is possibility 1 for jurisdiction” and “there is possibility 2 for jurisdiction”), and a conclusion,  $R$  (“there is a possibility for jurisdiction, all things considered”), and all judges accept that the disjunction of  $P$  and  $Q$  is necessary and sufficient for  $R$ . Given the individual judgments in table 7, a majority rejects  $P$  and a majority rejects  $Q$ , but a majority accepts  $R$ , in spite of the unanimous acceptance of  $(R \leftrightarrow (P \vee Q))$ .

Once again, there are 4 logically possible consistent sets of judgments an individual might hold, as shown in table 8.

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<sup>9</sup> This follows from a more general theorem by List and Pettit (2001) showing that there exists no procedure for aggregating individual sets of judgments over these propositions into collective ones in accordance with a set of minimal conditions.

**Table 8: All logically possible consistent sets of judgments over  $P$ ,  $Q$  and  $R$ , given  $(R \leftrightarrow (P \vee Q))$**

Label	Judgment on $P$	Judgment on $Q$	Judgment on $R$
$PQ$	Yes	Yes	Yes
$P\text{-}Q$	Yes	No	Yes
$\text{-}PQ$	No	Yes	Yes
$\text{-}P\text{-}Q$	No	No	No

Note that the connection rule  $(R \leftrightarrow (P \vee Q))$  is logically equivalent to  $(\text{-}R \leftrightarrow (\text{-}P \wedge \text{-}Q))$ . Therefore all the results on the conjunctive version of the paradox in section 2 can be restated for the disjunctive version too. To state the corresponding results for the disjunctive version of the paradox, we simply need to swap  $P$  and  $\text{-}P$ ,  $Q$  and  $\text{-}Q$  and  $R$  and  $\text{-}R$  in all the propositions and proofs.

The following proposition is the counterpart of propositions 1 and 2 above. Let  $n_{PQ}$ ,  $n_{P\text{-}Q}$ ,  $n_{\text{-}PQ}$ ,  $n_{\text{-}P\text{-}Q}$  be the numbers of individuals holding the sets of judgments  $PQ$ ,  $P\text{-}Q$ ,  $\text{-}PQ$ ,  $\text{-}P\text{-}Q$  in table 8, respectively.

**Proposition 5.** *Let the connection rule be  $(R \leftrightarrow (P \vee Q))$ .*

- (a) *There will be a collective inconsistency under propositionwise majority voting if and only if  $(n_{\text{-}P\text{-}Q} + n_{\text{-}PQ} > n/2)$  and  $(n_{\text{-}P\text{-}Q} + n_{P\text{-}Q} > n/2)$  and  $(n_{\text{-}P\text{-}Q} < n/2)$ .*
- (b) *Suppose  $(p_{\text{-}P\text{-}Q} + p_{\text{-}PQ} > 1/2)$  and  $(p_{\text{-}P\text{-}Q} + p_{P\text{-}Q} > 1/2)$  and  $(p_{\text{-}P\text{-}Q} < 1/2)$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.*
- (c) *Suppose  $(p_{\text{-}P\text{-}Q} + p_{\text{-}PQ} < 1/2)$  or  $(p_{\text{-}P\text{-}Q} + p_{P\text{-}Q} < 1/2)$  or  $(p_{\text{-}P\text{-}Q} > 1/2)$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

If scenarios 1 to 8 in table 3 are replaced with scenarios 1\* to 8\*, as shown in table 9 below, the probability that there will be a collective inconsistency under propositionwise majority voting for the new connection rule  $(R \leftrightarrow (P \vee Q))$  can be read off directly from table 3.

**Table 9: Scenarios corresponding to the probability that there will be a collective inconsistency under propositionwise majority voting (given  $(R \leftrightarrow (P \vee Q))$ )**

Scenario 1*	Scenario 2*	Scenario 3*	Scenario 4*	Scenario 5*	Scenario 6*	Scenario 7*	Scenario 8*
$p_{PQ} = 0.25$	$p_{PQ} = 0.24$	$p_{PQ} = 0.2$	$p_{PQ} = 0.24$	$p_{PQ} = 0.11$	$p_{PQ} = 0.09$	$p_{PQ} = 0.05$	$p_{PQ} = 0.01$
$p_{P \rightarrow Q} = 0.25$	$p_{P \rightarrow Q} = 0.25$	$p_{P \rightarrow Q} = 0.25$	$p_{P \rightarrow Q} = 0.25$	$p_{P \rightarrow Q} = 0.2$	$p_{P \rightarrow Q} = 0.2$	$p_{P \rightarrow Q} = 0.2$	$p_{P \rightarrow Q} = 0.33$
$p_{\neg PQ} = 0.25$	$p_{\neg PQ} = 0.25$	$p_{\neg PQ} = 0.25$	$p_{\neg PQ} = 0.27$	$p_{\neg PQ} = 0.2$	$p_{\neg PQ} = 0.2$	$p_{\neg PQ} = 0.2$	$p_{\neg PQ} = 0.33$
$p_{\neg P \rightarrow Q} = 0.25$	$p_{\neg P \rightarrow Q} = 0.26$	$p_{\neg P \rightarrow Q} = 0.3$	$p_{\neg P \rightarrow Q} = 0.24$	$p_{\neg P \rightarrow Q} = 0.49$	$p_{\neg P \rightarrow Q} = 0.51$	$p_{\neg P \rightarrow Q} = 0.55$	$p_{\neg P \rightarrow Q} = 0.33$

The conditions of proposition 5b – convergence of the probability of a collective inconsistency to 1 – are satisfied in scenarios 2\*, 3\*, 5\* and 8\*; the conditions of proposition 5c – convergence of the probability of a collective inconsistency to 0 – are satisfied in scenarios 4\*, 6\* and 7\*.

We will now again use the Condorcet jury framework introduced in section 3.

**Proposition 6.** *Let the connection rule be  $(R \leftrightarrow (P \vee Q))$ .*

**(a)** *Suppose  $P$  and  $Q$  are both false.*

- *Suppose  $0.5 < p, q < \sqrt{(0.5)}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.*
- *Suppose  $p, q > \sqrt{(0.5)}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

**(b)** *Suppose that at least one of  $P$  and  $Q$  is true and  $p, q > 0.5$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

The premise- and conclusion-based procedures of decision-making provide escape-routes from the disjunctive version of the doctrinal paradox too. Further, as in the conjunctive case, we can distinguish between reaching the correct decision for the right reasons and reaching it regardless of reasons. Table 10 shows the conditions under which the premise- and conclusion-based procedures reach the correct decision on  $R$  (given  $(R \leftrightarrow (P \vee Q))$ ) (i) regardless of reasons and (ii) for the right reasons, for different truth-values of  $P$  and  $Q$ .

**Table 10: Conditions under which the premise- and conclusion-based procedures reach the correct decision on  $R$  (given  $R \leftrightarrow (P \vee Q)$ ) (i) regardless of reasons and (ii) for the right reasons, for different truth-values of  $P$  and  $Q$**

$P$	$Q$	Premise-based procedure reaches correct decision on $R$		Conclusion-based procedure reaches correct decision on $R$	
		regardless of reasons if and only if ...	for the right reasons if and only if ...	regardless of reasons if and only if ...	for the right reasons if and only if ...
false	false	<b>there are majorities against each of <math>P</math> and <math>Q</math></b> i.e. $(n_{\neg P \neg Q} + n_{P \neg Q} > n/2)$ and $(n_{\neg P \neg Q} + n_{\neg P Q} > n/2)$ <b>(1)</b>		<b>there is a single majority against both <math>P</math> and <math>Q</math></b> i.e. $n_{\neg P \neg Q} > n/2$ <b>(2)</b>	
true	false	<b>there is a majority for at least one of <math>P</math> and <math>Q</math></b> i.e. $(n_{PQ} + n_{P \neg Q} > n/2)$ or $(n_{PQ} + n_{\neg PQ} > n/2)$	<b>there is a majority for <math>P</math> and a majority against <math>Q</math></b> i.e. $(n_{PQ} + n_{P \neg Q} > n/2)$ and $(n_{P \neg Q} + n_{\neg P \neg Q} > n/2)$ <b>(4)</b>	<b>there is not a single majority against both <math>P</math> and <math>Q</math></b> i.e. $n_{\neg P \neg Q} < n/2$	<b>there is a single majority supporting <math>P</math> and rejecting <math>Q</math></b> i.e. $n_{P \neg Q} > n/2$ <b>(8)</b>
false	true		<b>there is a majority against <math>P</math> and a majority for <math>Q</math></b> i.e. $(n_{\neg PQ} + n_{\neg P \neg Q} > n/2)$ and $(n_{PQ} + n_{\neg PQ} > n/2)$ <b>(5)</b>		<b>there is a single majority rejecting <math>P</math> and supporting <math>Q</math></b> i.e. $n_{\neg PQ} > n/2$ <b>(9)</b>
true	true		<b>there are majorities for each of <math>P</math> and <math>Q</math></b> i.e. $(n_{\neg PQ} + n_{PQ} > n/2)$ and $(n_{P \neg Q} + n_{PQ} > n/2)$ <b>(3)</b>		<b>there are majorities for each of <math>P</math> and <math>Q</math></b> i.e. $(n_{\neg PQ} + n_{PQ} > n/2)$ and $(n_{P \neg Q} + n_{PQ} > n/2)$ <b>(6)</b>

In table 10, the same implications as in table 5 hold, and we can deduce the following propositions:

- The premise-based procedure is always at least as good as the conclusion-based procedure in terms of reaching the correct decision on  $R$  for the right reasons.
- Suppose we are concerned with reaching the correct decision on  $R$  regardless of reasons. Here we need to distinguish two cases.
  - Suppose both  $P$  and  $Q$  are false. Then the premise-based procedure is always at least as good as the conclusion-based procedure in terms of reaching the correct decision on  $R$  regardless of reasons.

- Suppose at least one of  $P$  and  $Q$  is true. Then the conclusion-based procedure is always at least as good as the premise-based procedure in terms of reaching the correct decision on  $R$  regardless of reasons.<sup>10</sup>

**Proposition 7.** *Let the connection rule be  $(R \leftrightarrow (P \vee Q))$ . The probabilities, as  $n$  tends to infinity, that the premise- and conclusion-based procedures reach a correct decision on  $R$  (i) regardless of reasons and (ii) for the right reasons, under various scenarios, are as shown in table 11.*

**Table 11: Probability, as  $n$  tends to infinity, of a correct decision on  $R$  (given  $(R \leftrightarrow (P \vee Q))$ ) under the premise- and conclusion based procedures (i) regardless of reasons and (ii) for the right reasons, under various scenarios**

	Premise-based procedure: Probability, as $n$ tends to infinity, of ...		Conclusion-based procedure: Probability, as $n$ tends to infinity, of ...	
	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons
$0.5 < p, q < \sqrt{(0.5)}$ $P$ and $Q$ both false	1		0 (b)	
$0.5 < p, q < \sqrt{(0.5)}$ at least one of $P$ and $Q$ true			1 (c)	0 (d)
$p, q > \sqrt{(0.5)}$			1 (e)	

As in the conjunctive case, for a large class of conditions, the performance of the conclusion-based procedure is poor, particularly if we are concerned with tracking the “truth” for the right reasons. Unlike in the conjunctive case, however, the probability that the conclusion-based procedure will track the “truth” regardless of reasons converges to 1 if at least one of the premises is true.<sup>11</sup>

<sup>10</sup> These results are also compatible with results by Grofman (1985). Grofman showed that, when a group decision on a disjunctive composite proposition can be disaggregated into separate group decisions on each of the disjuncts, disaggregation is superior in terms of reaching the correct decision (regardless of reasons) for false propositions, but not for true decisions.

<sup>11</sup> Here, when  $P$  and  $Q$  are not both false, then the probability that the conclusion-based procedure reaches the correct decision on  $R$  regardless of reasons converges to 1 *faster* than the probability that the premise-based procedure reaches the correct decision on  $R$  regardless of reasons. This follows from the fact that condition (3) in table 10 implies condition (7), whereas the converse implication does not hold. Compare note 8.

#### 4.2. The Conjunctive Version of the Doctrinal Paradox with More than Two Premises

First we will generalize propositions 1 and 2 to the case of three premises. We will then generalize propositions 3 and 4 to the case of  $k$  premises.

**Table 12: The Doctrinal Paradox (The Case of Three-Premises)**

	$P$	$Q$	$R$	$(S \leftrightarrow (P \wedge Q \wedge R))$	$S$
Individual 1	Yes	Yes	No	Yes	No
Individual 2	No	Yes	Yes	Yes	No
Individual 3	Yes	No	Yes	Yes	No
Majority	Yes	Yes	Yes	Yes	No

If the individual judgments are as in table 12, there are propositionwise majorities for each of the three premises,  $P$ ,  $Q$  and  $R$ ; all individuals accept that the conjunction of the three premises is necessary and sufficient for the conclusion,  $S$ ; and yet  $S$  is unanimously rejected.

This time there are 8 logically possible consistent sets of judgments an individual might hold, as shown in table 13.

**Table 13: All logically possible consistent sets of judgments over  $P$ ,  $Q$ ,  $R$  and  $S$ , given  $(S \leftrightarrow (P \wedge Q \wedge R))$**

	$P$	$Q$	$R$	$S$
$PQR$	Yes	Yes	Yes	Yes
$PQ\bar{R}$	Yes	Yes	No	No
$P\bar{Q}R$	Yes	No	Yes	No
$P\bar{Q}\bar{R}$	Yes	No	No	No
$\bar{P}QR$	No	Yes	Yes	No
$\bar{P}Q\bar{R}$	No	Yes	No	No
$\bar{P}\bar{Q}R$	No	No	Yes	No
$\bar{P}\bar{Q}\bar{R}$	No	No	No	No

Let  $n_{PQR}$ ,  $n_{PQ\bar{R}}$ ,  $n_{\bar{P}QR}$ ,  $n_{\bar{P}\bar{Q}R}$ ,  $n_{P\bar{Q}R}$ ,  $n_{\bar{P}Q\bar{R}}$ ,  $n_{P\bar{P}QR}$ ,  $n_{\bar{P}\bar{P}QR}$  be the numbers of individuals holding the sets of judgments in table 13, and let  $p_{PQR}$ ,  $p_{PQ\bar{R}}$ ,  $p_{\bar{P}QR}$ ,  $p_{\bar{P}\bar{Q}R}$ ,  $p_{P\bar{Q}R}$ ,  $p_{\bar{P}Q\bar{R}}$ ,  $p_{P\bar{P}QR}$ ,  $p_{\bar{P}\bar{P}QR}$  be the corresponding probabilities.

**Proposition 8.** *Let the connection rule be  $(S \leftrightarrow (P \wedge Q \wedge R))$ .*

- (a) *There will be a collective inconsistency under propositionwise majority voting if and only if  $(n_{PQR} + n_{PQ\bar{R}} + n_{\bar{P}QR} + n_{\bar{P}\bar{Q}R} > n/2)$  and  $(n_{PQR} + n_{PQ\bar{R}} + n_{\bar{P}QR} + n_{\bar{P}\bar{Q}R} > n/2)$  and  $(n_{PQR} + n_{\bar{P}QR} + n_{P\bar{Q}R} + n_{\bar{P}\bar{Q}R} > n/2)$  and  $(n_{PQR} < n/2)$ .*
- (b) *Suppose  $(p_{PQR} + p_{PQ\bar{R}} + p_{\bar{P}QR} + p_{\bar{P}\bar{Q}R} > 1/2)$  and  $(p_{PQR} + p_{PQ\bar{R}} + p_{\bar{P}QR} + p_{\bar{P}\bar{Q}R} > 1/2)$  and  $(p_{PQR} + p_{\bar{P}QR} + p_{P\bar{Q}R} + p_{\bar{P}\bar{Q}R} > 1/2)$  and  $(p_{PQR} < 1/2)$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.*
- (c) *Suppose  $(p_{PQR} + p_{PQ\bar{R}} + p_{\bar{P}QR} + p_{\bar{P}\bar{Q}R} < 1/2)$  or  $(p_{PQR} + p_{PQ\bar{R}} + p_{\bar{P}QR} + p_{\bar{P}\bar{Q}R} < 1/2)$  or  $(p_{PQR} + p_{\bar{P}QR} + p_{P\bar{Q}R} + p_{\bar{P}\bar{Q}R} < 1/2)$  or  $(p_{PQR} > 1/2)$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

The proof of proposition 8 is given in appendix 3. To illustrate, the conditions of proposition 8b – convergence of the probability of a collective inconsistency to 1 – are satisfied when  $p_{PQR} = 0.126$ ,  $p_{\bar{P}\bar{P}QR} = 0.124$  and  $p_{PQ\bar{R}} = p_{\bar{P}QR} = p_{P\bar{Q}R} = p_{\bar{P}\bar{Q}R} = p_{P\bar{P}QR} = p_{\bar{P}\bar{P}QR} = 0.125$ ; or when  $p_{PQR} = 0.49$ ,  $p_{\bar{P}\bar{P}QR} = 0.03$  and  $p_{PQ\bar{R}} = p_{\bar{P}QR} = p_{P\bar{Q}R} = p_{\bar{P}\bar{Q}R} = p_{P\bar{P}QR} = p_{\bar{P}\bar{P}QR} = 0.08$ . The conditions of proposition 8c – convergence of the probability of a collective inconsistency to 0 – are satisfied when  $p_{PQR} = 0.124$ ,  $p_{\bar{P}\bar{P}QR} = 0.126$  and  $p_{PQ\bar{R}} = p_{\bar{P}QR} = p_{P\bar{Q}R} = p_{\bar{P}\bar{Q}R} = p_{P\bar{P}QR} = p_{\bar{P}\bar{P}QR} = 0.125$ ; or when  $p_{PQR} = 0.51$ ,  $p_{\bar{P}\bar{P}QR} = 0.01$  and  $p_{PQ\bar{R}} = p_{\bar{P}QR} = p_{P\bar{Q}R} = p_{\bar{P}\bar{Q}R} = p_{P\bar{P}QR} = p_{\bar{P}\bar{P}QR} = 0.08$ .

We will now generalize propositions 3 and 4 to the case of  $k$  premises. This generalization will serve to illustrate how easily a collective inconsistency can occur when the number of propositions is large. We will consider an aggregation problem with  $k$  premises,  $P_1, P_2, \dots, P_k$ , whose conjunction is necessary and sufficient for a conclusion,  $R$ . Again, we assume (i) that each individual has probabilities (individual “competence”)  $p_1, p_2, \dots, p_k$  of making a correct judgment on  $P_1, P_2, \dots, P_k$ , respectively, where  $p_1, p_2, \dots, p_k > 0.5$ ; (ii) each individual’s judgments on  $P_1, P_2, \dots, P_k$  are independent from each other; (iii) the judgments of different individuals are independent from each other. The

proofs of propositions 9 and 10 are perfectly analogous to the proofs of their counterparts for two premises (propositions 3 and 4 above). Note that the probability that an individual holds the conjunction of correct judgments on  $P_1, P_2, \dots, P_k$  is the product  $p_1 p_2 \dots p_k$ . In particular, if  $p_1, p_2, \dots, p_k < \sqrt[k]{0.5}$ , then  $p_1 p_2 \dots p_k < 0.5$ ; and if  $p_1, p_2, \dots, p_k > \sqrt[k]{0.5}$ , then  $p_1 p_2 \dots p_k > 0.5$ .

**Proposition 9.** *Let the connection rule be  $(R \leftrightarrow (P_1 \wedge P_2 \wedge \dots \wedge P_k))$ .*

**(a)** *Suppose  $P_1, P_2, \dots, P_k$  are true.*

- *Suppose  $0.5 < p_1, p_2, \dots, p_k < \sqrt[k]{0.5}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 1 as  $n$  tends to infinity.*
- *Suppose  $p, q > \sqrt[k]{0.5}$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

**(b)** *Suppose that not all of  $P_1, P_2, \dots, P_k$  are true and  $p_1, p_2, \dots, p_k > 0.5$ . Then the probability of a collective inconsistency under propositionwise majority voting converges to 0 as  $n$  tends to infinity.*

**Proposition 10.** *The probabilities, as  $n$  tends to infinity, that the premise- and conclusion-based procedures reach a correct decision on  $R$  (i) regardless of reasons and (ii) for the right reasons, under various scenarios, are as shown in table 14.*

**Table 14: Probability, as  $n$  tends to infinity, of a correct decision on  $R$  (given  $(R \leftrightarrow (P_1 \wedge P_2 \wedge \dots \wedge P_k))$ ) under the premise- and conclusion based procedures (i) regardless of reasons and (ii) for the right reasons, under various scenarios**

	Premise-based procedure: Probability, as $n$ tends to infinity, of ...		Conclusion-based procedure: Probability, as $n$ tends to infinity, of ...	
	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons	a correct decision on $R$ regardless of reasons	a correct decision on $R$ for the right reasons
$0.5 < p_1, p_2, \dots, p_k$ $< \sqrt[k]{0.5}$ $P_1, P_2, \dots, P_k$ all true	1		0 (b)	
$0.5 < p_1, p_2, \dots, p_k$ $< \sqrt[k]{0.5}$ not all of $P_1, P_2,$ $\dots, P_k$ true			1 (c)	0 (d)
$p_1, p_2, \dots, p_k$ $> \sqrt[k]{0.5}$			1 (e)	

Several points can be noted from propositions 9 and 10. For a large number  $k$  of premises, the level of individual competence required for the avoidance of collective inconsistencies (when all premises are true) is very high; the requisite lower bound on each of  $p_1, p_2, \dots, p_k$ , namely  $\sqrt[k]{0.5}$ , converges to 1 as  $k$  increases. Moreover, unless the competence of individuals is above that bound, the performance of the conclusion-based procedure in terms of reaching a correct decision on the conclusion for the right reasons is very poor. Moreover, if the premises are all true, the conclusion-based procedure will also perform poorly in terms of reaching a correct decision on the conclusion regardless of reasons. The premise-based procedure, by contrast, will reach a correct decision on the conclusion more reliably, both for the right reasons and regardless of reasons.<sup>12</sup>

### 5. The Probability of Inconsistent Collective Sets of Judgments Compared with the Probability of Cycles

The doctrinal paradox invites comparison with Condorcet's paradox, according to which consistent individual preferences can lead to inconsistent collective preferences under pairwise majority voting.<sup>13</sup> To state Condorcet's paradox, suppose there are three individuals, where one prefers option  $x_1$  to option  $x_2$  to option  $x_3$ , the second prefers

<sup>12</sup> A remark similar to note 8 above applies.

<sup>13</sup> For a discussion of the parallels between the two paradoxes, see List and Pettit (2002b).

option  $x_2$  to option  $x_3$  to option  $x_1$ , and the third prefers option  $x_3$  to option  $x_1$  to option  $x_2$ . Then there is a majority for  $x_1$  against  $x_2$ , a majority for  $x_2$  against  $x_3$ , and a majority for  $x_3$  against  $x_1$ , a cycle.

Several recent papers have addressed the likelihood of the occurrence of Condorcet's paradox in a large electorate (Tangian 2000; Tsetlin, Regenwetter and Grofman 2000; List and Goodin 2001). The robust finding is that, given plausible assumptions about the distribution of individual preferences, the probability of cyclical collective preferences vanishes as the number of individuals increases. In what follows, I will briefly compare existing results on the probability of cycles with the present results on the probability of inconsistent collective sets of judgements, using the example of the conjunctive version of the doctrinal paradox.

We have seen in section 2 that slight deviations from an impartial culture can imply convergence of the probability of collective inconsistencies under propositionwise majority voting to either 0 or 1 as the number of individuals increases, depending on the precise pattern of deviation. A similar result holds for the aggregation of preferences.

If there are three options,  $x_1$ ,  $x_2$  and  $x_3$ , there are 6 logically possible strict preference orderings, as shown in table 15.

**Table 15: All logically possible strict preference orderings over three options**

Label	1 <sup>st</sup> preference	2 <sup>nd</sup> preference	3 <sup>rd</sup> preference
$P_{X1}$	$x_3$	$x_1$	$x_2$
$P_{Y2}$	$x_3$	$x_2$	$x_1$
$P_{Z1}$	$x_2$	$x_3$	$x_1$
$P_{X2}$	$x_2$	$x_1$	$x_3$
$P_{Y1}$	$x_1$	$x_2$	$x_3$
$P_{Z2}$	$x_1$	$x_3$	$x_2$

Let  $p_{X1}$ ,  $p_{X2}$ ,  $p_{Y1}$ ,  $p_{Y2}$ ,  $p_{Z1}$ ,  $p_{Z2}$  be the probabilities that an individual holds the orderings  $P_{X1}$ ,  $P_{X2}$ ,  $P_{Y1}$ ,  $P_{Y2}$ ,  $P_{Z1}$ ,  $P_{Z2}$ , respectively (where the sum of the probabilities is 1). As before, an *impartial culture* is the situation in which  $p_{X1} = p_{X2} = p_{Y1} = p_{Y2} = p_{Z1} = p_{Z2}$ .

In an impartial culture, the probability of a cycle increases as the number of individuals increases (Gehrlein 1983). But, as in the case of the doctrinal paradox, an impartial

culture is a special case (see in particular Tsetlin, Regenwetter and Grofman 2000). Given suitable systematic, however slight, deviations from an impartial culture, the probability of a cycle under pairwise majority voting will converge to either 0 or 1 as the number of individuals increases.

**Proposition 11 (List 2001).**

- (a) *Suppose  $(p_{X1} > p_{X2}$  and  $p_{Y1} > p_{Y2}$  and  $p_{Z1} > p_{Z2})$  or  $(p_{X1} < p_{X2}$  and  $p_{Y1} < p_{Y2}$  and  $p_{Z1} < p_{Z2})$  and  $(|p_{X1} - p_{X2}| < \delta/2)$  and  $(|p_{Y1} - p_{Y2}| < \delta/2)$  and  $(|p_{Z1} - p_{Z2}| < \delta/2)$ , where  $\delta = |p_{X1} - p_{X2}| + |p_{Y1} - p_{Y2}| + |p_{Z1} - p_{Z2}|$ . Then the probability of a cycle under pairwise majority voting converges to 1 as  $n$  tends to infinity.*
- (b) *Suppose  $(p_{X1} < p_{X2}$  or  $p_{Y1} < p_{Y2}$  or  $p_{Z1} < p_{Z2})$  and  $(p_{X1} > p_{X2}$  or  $p_{Y1} > p_{Y2}$  or  $p_{Z1} > p_{Z2})$  or  $(|p_{X1} - p_{X2}| > \delta/2)$  or  $(|p_{Y1} - p_{Y2}| > \delta/2)$  or  $(|p_{Z1} - p_{Z2}| > \delta/2)$ , where  $\delta = |p_{X1} - p_{X2}| + |p_{Y1} - p_{Y2}| + |p_{Z1} - p_{Z2}|$ . Then the probability of a cycle under pairwise majority voting converges to 0 as  $n$  tends to infinity.*

Propositions 11a and 11b correspond, respectively, to propositions 2a and 2b above. Proposition 11a, like proposition 2a, states conditions under which the probability of an inconsistent (here cyclical) outcome converges to 1. Proposition 11b, like proposition 2b, states conditions under which this probability converges to 0.

So far this seems like a perfect analogy between the probability of cycles and the probability of inconsistent collective sets of judgments. In both cases, an impartial culture is a special case, implying a non-zero probability of the paradox. Further, in both cases, systematic deviations from an impartial culture imply convergence of that probability to either 0 or 1. Can we nonetheless find a criterion for determining whether the occurrence of one of the two paradoxes is more likely than that of the other? The criterion would have to determine what distributions of probabilities over all logically possible preference orderings, or over all logically possible sets of judgments, are the empirically most plausible ones. We would then have to ask, in the case of the doctrinal paradox, whether these distributions satisfy the conditions of proposition 2a or those of proposition 2b, and in the case of Condorcet's paradox, whether they satisfy the conditions of proposition 11a or those of proposition 11b.

An initial inspection suggests that both the conditions of proposition 2a and those of proposition 2b can easily be met. For instance, the conditions of proposition 2a – convergence of the probability of a collective inconsistency to 1 – are already met if  $p_{PQ} = 1/4 + \varepsilon$ ,  $p_{\neg P\neg Q} = 1/4 - \varepsilon$  and  $p_{\neg PQ} = p_{P\neg Q} = 1/4$ , for any arbitrarily small number  $\varepsilon > 0$ . The conditions of proposition 2b – convergence of that probability to 0 – are already met if  $p_{PQ} = 1/4 - \varepsilon$ ,  $p_{\neg P\neg Q} = 1/4 + \varepsilon$  and  $p_{\neg PQ} = p_{P\neg Q} = 1/4$ . By contrast, the conditions of proposition 11b – convergence of the probability of cycles to 0 – appear to be logically less demanding than those of proposition 11a – convergence of that probability to 1. While the former are already met if *at least one* of  $p_{X1} < p_{X2}$ ,  $p_{Y1} < p_{Y2}$ ,  $p_{Z1} < p_{Z2}$  and *at least one* of  $p_{X1} > p_{X2}$ ,  $p_{Y1} > p_{Y2}$ ,  $p_{Z1} > p_{Z2}$  hold, the latter would require *all* of ( $p_{X1} < p_{X2}$  and  $p_{Y1} < p_{Y2}$  and  $p_{Z1} < p_{Z2}$ ) or *all* of ( $p_{X1} > p_{X2}$  and  $p_{Y1} > p_{Y2}$  and  $p_{Z1} > p_{Z2}$ ) and three additional conjuncts. For instance, the conditions of proposition 11b are already satisfied if  $p_{X1} = 1/6 - \varepsilon$ ,  $p_{Y1} = 1/6 + \varepsilon$  and  $p_{X2} = p_{Y2} = p_{Z1} = p_{Z2} = 1/6$ , while no equally simple deviation from an impartial culture is sufficient for the conditions of proposition 11a. However, an *a priori* inspection of the conditions can hardly be sufficient to settle the question of whether the occurrence of one of the two paradoxes is more or less likely than that of the other.

In what follows we will again invoke a Condorcet jury framework. In such a framework, I will suggest that the conditions under which the probability of cycles converges to 0 are more plausible than the conditions under which the probability of inconsistent collective sets of judgments converges to 0.

Given  $k$  options,  $x_1, x_2, \dots, x_k$ , we assume that (i) each individual has probabilities  $p_1, p_2, \dots, p_k$  of voting for  $x_1, x_2, \dots, x_k$ , respectively, where each individual is at least minimally "competent" in that, if  $x_j$  is the "correct" option, then, for all  $i$  (where  $i \neq j$ ),  $p_j > p_i$ ; and (ii) the preferences of different individuals are independent from each other. We consider the special case  $k = 3$ .

Supposing that the correct option is fixed, the values of  $p_1, p_2$  and  $p_3$  can be used to construct values of  $p_{X1}, p_{X2}, p_{Y1}, p_{Y2}, p_{Z1}, p_{Z2}$ , corresponding to each individual's holding each of the 6 logically possible strict preference orderings.<sup>14</sup> This corresponds to the

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<sup>14</sup> Specifically, we will define the probability for the strict ordering  $x_{i_1} > x_{i_2} > x_{i_3}$  (where  $i_1, i_2, i_3 \in \{1, 2, 3\}$ ) to be  $p_{i_1}p_{i_2} / (1-p_{i_1})$  (see List and Goodin 2001).

construction of  $p_{PQ}$ ,  $p_{P-Q}$ ,  $p_{-PQ}$ ,  $p_{-P-Q}$  from the values of  $p$  and  $q$ , as discussed in section 3.

The present assumptions are analogous to the assumptions of the Condorcet jury framework introduced in section 3. The present assumptions imply that the probability distribution over all logically possible strict preference orderings is skewed in favour of a preference for the “correct” option over the other options. The assumptions of section 3 imply that the probability distribution over all logically possible individual sets of judgments is skewed in favour of the “correct” judgment on each premise.

Under the present assumptions – specifically, for all  $i$  (where  $i \neq j$ ),  $p_j > p_i$ , where  $x_j$  is the “correct” option –, the probability that the “correct” option will be the Condorcet winner converges to 1 as the number of individuals increases (List and Goodin 2001).<sup>15</sup> The conditions of proposition 11b will be satisfied, and, in consequence, the probability of a cycle will converge to 0.

By contrast, turning to the aggregation over multiple propositions, if the premises  $P$  and  $Q$  are both true, then the assumptions of section 3 – specifically,  $0.5 < p, q < \sqrt[3]{0.5}$  – imply the conditions of proposition 2a, and the probability of a collective inconsistency under propositionwise majority voting converges to 1 as the number of individuals increases (see proposition 3).

These considerations break the apparent similarity between the probability of cycles and the probability of inconsistent collective sets of judgments. If individuals have a level of competence that is better than random but not especially high, then the probability of a Condorcet paradox will converge to 0 while the probability of a doctrinal paradox will converge to 1. Given the results of section 4, we may expect this effect to be even greater when the number  $k$  of premises is large. If there are  $k$  premises (supposing, for our argument, all are true), any level of individual competence above 0.5 but below  $\sqrt[k]{0.5}$  implies that the probability of inconsistent collective judgments converges to 1 as the number of individuals increases.

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<sup>15</sup> A Condorcet winner is an option that beats, or at least ties with, all other options in pairwise majority voting.

The theoretically predicted discrepancy between the probability of cycles and the probability of inconsistent collective sets of judgments seems consistent with two pieces of anecdotal evidence. The theoretically predicted low probability of cycles in a large electorate seems consistent with the striking lack of empirical evidence for cycles.<sup>16</sup> The theoretically predicted higher probability of doctrinal paradoxes in a large electorate seems consistent with the findings of an empirical study of voting on referenda (Brams, Kilgour and Zwicker 1997). The study showed that, for three related propositions on the environment in a 1990 referendum in California, less than 6% of the (sampled) electorate individually endorsed the particular conjunction of these three propositions (acceptance of two, rejection of the third) that won under propositionwise majority voting. If the winning combination of propositions were to serve as jointly necessary and sufficient premises for some other conclusion (which would presumably fail to get majority support), we would have a straightforward instance of an inconsistent collective set of judgments.

Finally, note that the theoretical results should be viewed from the perspective of the typical size of those decision-making bodies faced with problems of preference aggregation and those faced with problems of aggregation over multiple interconnected propositions. While preference aggregation often involves large electorates, for instance in elections, the kinds of bodies faced with decision-making over systems of multiple propositions are typically smaller: examples are courts, committees, or parliaments, with between a handful and a few hundred members. Large-scale referenda over multiple propositions like the ones in California may be an exception. However, as table 3 in section 2 illustrates, the probability of collective inconsistencies under propositionwise majority voting may be substantial even in groups of just a few dozen or a few hundred individuals, depending on the distribution of probabilities over all logically possible individual sets of judgments.

## **6. Concluding Remarks**

The aim of this paper has been to discuss the likelihood of collective inconsistencies under propositionwise majority voting. We have developed a model for determining the probability of such inconsistencies, and applied the model to conjunctive and disjunctive

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<sup>16</sup> See Mackie (2000) for a critique of several purported empirical examples of cycles.

versions of the doctrinal paradox with two premises, and also to the conjunctive version of the paradox with more than two premises.

We have identified conditions under which the probability of collective inconsistencies under propositionwise majority voting converges to 1 and conditions under which it converges to 0. Both sets of conditions can occur in plausible circumstances. In the case of the conjunctive version of the doctrinal paradox, convergence of the probability of the paradox to 1 is implied by standard competence assumptions in a Condorcet jury framework when all premises are true and individual competence is not particularly high. Convergence of the probability of the paradox to 0 occurs when either at least one of the premises is false or individual competence is very high. In the disjunctive case, convergence of the probability of the paradox to 1 occurs when all premises are false and individual competence is not particularly high. Convergence of the probability of the paradox to 0 occurs when either at least one of the premises is true or individual competence is very high.

Since decision problems with medium individual competence seem empirically plausible, the occurrence of the doctrinal paradox may be quite likely. This reinforces the importance of identifying escape-routes from the paradox and of asking what methods groups can and do employ to avoid the paradox (see also List and Pettit 2002a).

With regard to possible escape-routes, following Bovens and Rabinowicz (2001), we have seen that, for a large class of cases, the premise-based procedure of decision-making is superior to the conclusion-based procedure in terms of tracking the “truth” (where there is a truth to be tracked), especially when we are concerned with tracking the “truth” for the right reasons. Finally, we have compared the present results with existing results on the probability of Condorcet's paradox, suggesting that the doctrinal paradox is more likely to occur than Condorcet's paradox, and may thus be more of a threat.

The present results should be viewed as initial results, not as the final word on the probability of collective inconsistencies under propositionwise majority voting. More sophisticated probability-theoretic models could be constructed, for instance allowing different probabilities corresponding to different individuals, and certain dependencies

between the decisions of different individuals.<sup>17</sup> But even the present initial results support one strong conclusion. The occurrence of the doctrinal paradox is not implausible at all, and the paradox deserves attention.

### Appendix 1: Calculating the Probability of a Collective Inconsistency under Propositionwise Majority Voting for Finite Values of $n$

Let  $X_{PQ}$ ,  $X_{P\rightarrow Q}$ ,  $X_{\neg PQ}$ ,  $X_{\neg P\rightarrow Q}$  be the random variables whose values are the numbers of individuals holding the sets of judgments  $PQ$ ,  $P\rightarrow Q$ ,  $\neg PQ$ ,  $\neg P\rightarrow Q$ , respectively. The joint distribution of  $X_{PQ}$ ,  $X_{P\rightarrow Q}$ ,  $X_{\neg PQ}$ ,  $X_{\neg P\rightarrow Q}$  is a multinomial distribution with the following probability function:

$$P(X_{PQ}=n_{PQ}, X_{P\rightarrow Q}=n_{P\rightarrow Q}, X_{\neg PQ}=n_{\neg PQ}, X_{\neg P\rightarrow Q}=n_{\neg P\rightarrow Q}) \\ = \frac{n!}{n_{PQ}! n_{P\rightarrow Q}! n_{\neg PQ}! n_{\neg P\rightarrow Q}!} p_{PQ}^{n_{PQ}} p_{P\rightarrow Q}^{n_{P\rightarrow Q}} p_{\neg PQ}^{n_{\neg PQ}} p_{\neg P\rightarrow Q}^{n_{\neg P\rightarrow Q}}.$$

Using proposition 1 and the stated probability function, we can infer the following proposition on the probability of collective inconsistencies under propositionwise majority voting.

**Proposition 12.** *Let the connection rule be  $(R \leftrightarrow (P \wedge Q))$ . Suppose there are  $n$  individuals, where each individual has independent probabilities  $p_{PQ}$ ,  $p_{P\rightarrow Q}$ ,  $p_{\neg PQ}$ ,  $p_{\neg P\rightarrow Q}$  of holding the sets of judgments  $PQ$ ,  $P\rightarrow Q$ ,  $\neg PQ$ ,  $\neg P\rightarrow Q$ , respectively. Then the probability that there will be a collective inconsistency under propositionwise majority voting is*

$$P((X_{PQ} + X_{P\rightarrow Q} > n/2) \text{ and } (X_{PQ} + X_{\neg PQ} > n/2) \text{ and } (X_{PQ} < n/2)) \\ = \sum_{\langle n_{PQ}, n_{P\rightarrow Q}, n_{\neg PQ}, n_{\neg P\rightarrow Q} \rangle \in N_{PQ\rightarrow R}} \frac{n!}{n_{PQ}! n_{P\rightarrow Q}! n_{\neg PQ}! n_{\neg P\rightarrow Q}!} p_{PQ}^{n_{PQ}} p_{P\rightarrow Q}^{n_{P\rightarrow Q}} p_{\neg PQ}^{n_{\neg PQ}} p_{\neg P\rightarrow Q}^{n_{\neg P\rightarrow Q}},$$

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<sup>17</sup> Compare note 4 above.

where  $N_{PQ \rightarrow R} := \{ \langle n_{PQ}, n_{P \rightarrow Q}, n_{\neg PQ}, n_{\neg P \rightarrow Q} \rangle : (n_{PQ} + n_{P \rightarrow Q} > n/2) \text{ and } (n_{PQ} + n_{P \rightarrow Q} > n/2) \text{ and } (n_{PQ} < n/2) \text{ and } (n_{PQ} + n_{P \rightarrow Q} + n_{\neg PQ} + n_{\neg P \rightarrow Q} = n) \}$  (set of all vectors  $\langle n_{PQ}, n_{P \rightarrow Q}, n_{\neg PQ}, n_{\neg P \rightarrow Q} \rangle$  for which there are majorities for each of  $P$  and  $Q$ , and a majority against  $R$ ).

The probabilities of all other logically possible combinations of majorities for or against  $P$ ,  $Q$  and  $R$  can be calculated analogously.

## Appendix 2: Calculating the Probability of the Various Scenarios in Table 5

For each of the 10 scenarios in table 5, let  $M$  be the set of all vectors  $\langle n_{PQ}, n_{P \rightarrow Q}, n_{\neg PQ}, n_{\neg P \rightarrow Q} \rangle$  (with sum  $n$ ) for which the condition corresponding to the relevant scenario is satisfied. Using the probability function for the joint distribution of  $X_{PQ}$ ,  $X_{P \rightarrow Q}$ ,  $X_{\neg PQ}$ ,  $X_{\neg P \rightarrow Q}$  (see appendix 1), the desired probability is

$$\sum_{\langle n_{PQ}, n_{P \rightarrow Q}, n_{\neg PQ}, n_{\neg P \rightarrow Q} \rangle \in M} \frac{n!}{n_{PQ}! n_{P \rightarrow Q}! n_{\neg PQ}! n_{\neg P \rightarrow Q}!} p_{PQ}^{n_{PQ}} p_{P \rightarrow Q}^{n_{P \rightarrow Q}} p_{\neg PQ}^{n_{\neg PQ}} p_{\neg P \rightarrow Q}^{n_{\neg P \rightarrow Q}}.$$

For example, if  $P$  and  $Q$  are both false and we are interested in the probability that the conclusion-based procedure reaches the correct decision on  $R$  for the right reasons (scenario 10), then we simply put  $M := \{ \langle n_{PQ}, n_{P \rightarrow Q}, n_{\neg PQ}, n_{\neg P \rightarrow Q} \rangle : (n_{\neg P \rightarrow Q} > n/2) \text{ and } (n_{PQ} + n_{P \rightarrow Q} + n_{\neg PQ} + n_{\neg P \rightarrow Q} = n) \}$ .

## Appendix 3: Proofs

A condition  $\phi$  on a set of  $k$  probabilities,  $p_1, p_2, \dots, p_k$ , is a mapping whose domain is the set of all logically possible assignment of probabilities to  $p_1, p_2, \dots, p_k$  and whose co-domain is the set  $\{true, false\}$ . Whenever  $\phi(p_1, p_2, \dots, p_k) = true$ , we shall say that the probabilities  $p_1, p_2, \dots, p_k$  satisfy  $\phi$ , and whenever  $\phi(p_1, p_2, \dots, p_k) = false$ , we shall say the probabilities  $p_1, p_2, \dots, p_k$  violate  $\phi$ .

Examples of  $\phi$  for the probabilities  $p_{PQ}, p_{P \rightarrow Q}, p_{\neg PQ}, p_{\neg P \rightarrow Q}$  are

- $(p_{PQ} + p_{P \rightarrow Q} > 1/2) \text{ and } (p_{PQ} + p_{\neg PQ} > 1/2) \text{ and } (p_{PQ} < 1/2)$
- $(p_{PQ} \geq 1/2)$
- $(p_{PQ} > 1/2) \text{ and } (p_{P \rightarrow Q} > 1/2)$

A condition  $\phi$  is *consistent* if there exists at least one logically possible assignment of probabilities to  $p_1, p_2, \dots, p_k$  satisfying  $\phi$ . A condition  $\phi$  is *strict* if, for every assignment of probabilities  $p_1, p_2, \dots, p_k$  satisfying  $\phi$ , there exists an  $\varepsilon > 0$  such that, whenever the probabilities  $p^*_1, p^*_2, \dots, p^*_k$  lie inside a sphere in  $\mathbf{R}^k$  with centre  $p_1, p_2, \dots, p_k$  and radius  $\varepsilon$ , then the probabilities  $p^*_1, p^*_2, \dots, p^*_k$  also satisfy  $\phi$ . It is easily seen that the condition  $(p_{PQ} + p_{P-Q} > 1/2)$  and  $(p_{PQ} + p_{-PQ} > 1/2)$  and  $(p_{PQ} < 1/2)$  is both consistent and strict; the condition  $(p_{PQ} \geq 1/2)$  is consistent, but not strict; and the condition  $(p_{PQ} > 1/2)$  and  $(p_{P-Q} > 1/2)$  is not consistent.

Let  $X_1, X_2, \dots, X_k$  be a set of  $k$  random variables whose joint distribution is a multinomial distribution with the following probability function:

$$P(X_1=n_1, X_2=n_2, \dots, X_k=n_k) = \frac{n!}{n_1! n_2! \dots n_k!} p_1^{n_1} p_2^{n_2} \dots p_k^{n_k},$$

where  $n_1 + n_2 + \dots + n_k = n$ .

**Lemma 1 (Convergence Lemma).** *Let  $\phi$  be any consistent strict condition on a set of  $k$  probabilities, and suppose the probabilities  $p_1, p_2, \dots, p_k$  satisfy  $\phi$ . Then  $P(X_1/n, X_2/n, \dots, X_k/n$  satisfy  $\phi)$  converges to 1 as  $n$  tends to infinity.*

**Proof of lemma 1.** Consider the vector of random variables  $\underline{X}^* = \langle X^*_1, X^*_2, \dots, X^*_k \rangle$ , where, for each  $i$ ,  $X^*_i := X_i/n$ . We know that the joint distribution of  $n\underline{X}^*$  is a multinomial distribution with mean vector  $n\underline{p} = \langle np_1, np_2, \dots, np_k \rangle$  and with variance-covariance matrix  $n\underline{\Sigma} = (s_{ij})$ , where, for each  $i, j$ ,  $s_{ij} = np_i(1-p_i)$  if  $i=j$  and  $s_{ij} = -np_i p_j$  if  $i \neq j$ . By the central limit theorem, for large  $n$ ,  $(\underline{X}^* - \underline{p})\sqrt{(n)}$  has an approximate multivariate normal distribution  $N(\underline{0}, \underline{\Sigma})$ , and  $\underline{X}^* - \underline{p}$  has an approximate multivariate normal distribution  $N(\underline{0}, \frac{1}{n}\underline{\Sigma})$ . Let  $f_n : \mathbf{R}^k \rightarrow \mathbf{R}$  be the corresponding density function for  $\underline{X}^* - \underline{p}$ . Using this density function,  $P(X_1/n, X_2/n, \dots, X_k/n$  satisfy  $\phi) \approx \int_{\underline{t} \in S} f_n(\underline{t}) d\underline{t}$ , where

$$S := \{ \underline{t} = \langle t_1, t_2, \dots, t_k \rangle \in \mathbf{R}^k : (t_1 + p_1), (t_2 + p_2), \dots, (t_k + p_k) \text{ satisfy } \phi \}.$$

By assumption, the probabilities  $p_1, p_2, \dots, p_k$  satisfy  $\phi$ , and hence  $\underline{0} \in S$ . Since  $\phi$  is strict, there exists an  $\varepsilon > 0$  such that  $S_{\underline{0}, \varepsilon} \subseteq S$ , where  $S_{\underline{0}, \varepsilon}$  is a sphere in  $\mathbf{R}^k$  around  $\underline{0}$  with radius  $\varepsilon$ . Then, since  $f_n$  is nonnegative,  $\int_{\underline{t} \in S} f_n(\underline{t}) d\underline{t} \geq \int_{\underline{t} \in S_{\underline{0}, \varepsilon}} f_n(\underline{t}) d\underline{t}$ . But, as  $f_n$  is the density function

corresponding to  $N(\underline{0}, 1/n \underline{\Sigma})$ ,  $\int_{\underline{t} \in S_{\underline{0}, e}} f_n(\underline{t}) d\underline{t} \rightarrow 1$  as  $n \rightarrow \infty$ , and hence  $\int_{\underline{t} \in S} f_n(\underline{t}) d\underline{t} \rightarrow 1$  as  $n \rightarrow \infty$ , as required. **Q.E.D.**

**Proof of proposition 2.**

(a)  $(p_{PQ} + p_{P-Q} > 1/2)$  and  $(p_{PQ} + p_{-PQ} > 1/2)$  and  $(p_{PQ} < 1/2)$  is a consistent strict condition. By lemma 1,  $P((X_{PQ} + X_{P-Q} > n/2)$  and  $(X_{PQ} + X_{-PQ} > n/2)$  and  $(X_{PQ} < n/2)) \rightarrow 1$  as  $n \rightarrow \infty$ . The result then follows from proposition 1. **Q.E.D.**

(b)  $(p_{PQ} + p_{P-Q} < 1/2)$  or  $(p_{PQ} + p_{-PQ} < 1/2)$  or  $(p_{PQ} > 1/2)$  is a consistent strict condition. By lemma 1,  $P((X_{PQ} + X_{P-Q} < n/2)$  or  $(X_{PQ} + X_{-PQ} < n/2)$  or  $(X_{PQ} > n/2)) \rightarrow 1$  as  $n \rightarrow \infty$ . The result then follows from proposition 1. **Q.E.D.**

**Proof of proposition 3.**

(a)  $P$  and  $Q$  are true.

The relevant case in table 4 is case 1. For the first part, it is sufficient to show that  $p_{PQ}, p_{P-Q}, p_{-PQ}, p_{-P-Q}$  satisfy the conditions of proposition 2a. Suppose  $0.5 < p, q < \sqrt{(0.5)}$ . Then

$$p_{PQ} + p_{P-Q} = pq + p(1-q) = p > 0.5$$

$$p_{PQ} + p_{-PQ} = pq + (1-p)q = q > 0.5$$

$$p_{PQ} = pq < 0.5,$$

as required. For the second part, it is sufficient to show that  $p_{PQ}, p_{P-Q}, p_{-PQ}, p_{-P-Q}$  satisfy the conditions of proposition 2b. Suppose  $\sqrt{(0.5)} < p, q$ . Then

$$p_{PQ} = pq > 0.5,$$

as required. **Q.E.D.**

(b) Not both  $P$  and  $Q$  are true.

The relevant cases in table 4 are cases 2, 3 and 4. It is sufficient to show that  $p_{PQ}, p_{P-Q}, p_{-PQ}, p_{-P-Q}$  satisfy the conditions of proposition 2b. Suppose  $0.5 < p, q$ .

In case 2,  $p_{PQ} + p_{-PQ} = p(1-q) + (1-p)(1-q) = 1-q < 1/2$ , as required.

In case 3,  $p_{PQ} + p_{P-Q} = (1-p)q + (1-p)(1-q) = 1-p < 1/2$ , as required.

In case 4,  $p_{PQ} + p_{P-Q} = (1-p)(1-q) + (1-p)q = 1-p < 1/2$ , as required. **Q.E.D.**

**Proof of proposition 4.**

(a) Suppose  $0.5 < p, q$ . It is sufficient to show that the probability that the premise-based procedure reaches a correct decision on  $R$  for the right reasons (implying also that it reaches a correct decision regardless of reasons) converges to 1 as  $n$  tends to infinity. Consider the four cases in table 4.

Case 1:  $p_{PQ} + p_{P-Q} = pq + p(1-q) = p > 0.5$  and  $p_{PQ} + p_{-PQ} = pq + (1-p)q = q > 0.5$ , a consistent strict condition. By lemma 1,  $P((X_{PQ} + X_{P-Q} > n/2) \text{ and } (X_{PQ} + X_{-PQ} > n/2)) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (1) in table 5).

All other cases are analogous. In each case, the relevant consistent strict condition will be identified, and the result will follow from lemma 1.

Case 2:  $p_{PQ} + p_{P-Q} = p(1-q) + pq = p > 0.5$  and  $p_{P-Q} + p_{-P-Q} = pq + (1-p)q = q > 0.5$ .  $P((X_{PQ} + X_{P-Q} > n/2) \text{ and } (X_{P-Q} + X_{-P-Q} > n/2)) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (4) in table 5).

Case 3:  $p_{-PQ} + p_{-P-Q} = pq + p(1-q) = p > 0.5$  and  $p_{PQ} + p_{-PQ} = (1-p)q + pq = q > 0.5$ .  $P((X_{-PQ} + X_{-P-Q} > n/2) \text{ and } (X_{PQ} + X_{-PQ} > n/2)) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (5) in table 5).

Case 4:  $p_{-PQ} + p_{P-Q} = p(1-q) + pq = p > 0.5$  and  $p_{P-Q} + p_{-P-Q} = (1-p)q + pq = q > 0.5$ .  $P((X_{-PQ} + X_{P-Q} > n/2) \text{ and } (X_{P-Q} + X_{-P-Q} > n/2)) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (6) in table 5).

**(b)** Suppose  $0.5 < p, q < \sqrt{(0.5)}$ , and both  $P$  and  $Q$  (and by implication  $R$ ) are true. Then  $p_{PQ} = pq < 0.5$ .  $P(X_{PQ} < n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (2) in table 5).

**(c)** Suppose  $0.5 < p, q < \sqrt{(0.5)}$ , and not both  $P$  and  $Q$  are true. By part (a) (cases 2, 3 and 4), the probability that there will not be a majority for  $P$  and a majority for  $Q$  converges to 1 as  $n$  tends to infinity. This implies in particular that  $P(X_{PQ} < n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (7) in table 5).

**(d)** Suppose  $0.5 < p, q < \sqrt{(0.5)}$ . The relevant cases in table 4 are cases 2, 3 and 4.

Case 2:  $p_{P-Q} = pq < 0.5$ .  $P(X_{P-Q} < n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (8) in table 5).

Case 3:  $p_{-PQ} = pq < 0.5$ .  $P(X_{-PQ} < n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (9) in table 5).

Case 4:  $p_{-P-Q} = pq < 0.5$ .  $P(X_{-P-Q} < n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (10) in table 5).

**(e)** Suppose  $p, q > \sqrt{(0.5)}$ . It is sufficient to show that the probability that the conclusion-based procedure reaches a correct decision on  $R$  for the right reasons (implying also that it reaches a correct decision regardless of reasons) converges to 1 as  $n$  tends to infinity. Consider the four cases in table 4.

Case 1:  $p_{PQ} = pq > 0.5$ .  $P(X_{PQ} > n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (2) in table 5).

Case 2:  $p_{P-Q} = pq > 0.5$ .  $P(X_{P-Q} > n/2) \rightarrow 1$  as  $n \rightarrow \infty$  (compare condition (8) in table 5).

Case 3:  $p_{\neg PQ} = pq > 0.5$ .  $P(X_{\neg PQ} > n/2) \rightarrow 1$  as  $n \rightarrow \infty$   
 (compare condition (9) in table 5).

Case 4:  $p_{\neg P\neg Q} = pq > 0.5$ .  $P(X_{\neg P\neg Q} > n/2) \rightarrow 1$  as  $n \rightarrow \infty$   
 (compare condition (10) in table 5).

**Q.E.D.**

### **Proof of proposition 8.**

A collective inconsistency (given  $(S \leftrightarrow (P \wedge Q \wedge R))$ ) under propositionwise majority voting will occur if and only if there are majorities for each of  $P$ ,  $Q$  and  $R$  and there is a majority against  $S$ . Proposition 8a is simply a statement of these conditions. To prove the propositions 8b and 8c, it is sufficient to note that

$$(p_{PQR} + p_{PQ\neg R} + p_{P\neg QR} + p_{P\neg Q\neg R} > 1/2) \text{ and } (p_{PQR} + p_{PQ\neg R} + p_{\neg PQR} + p_{\neg PQ\neg R} > 1/2) \text{ and}$$

$$(p_{PQR} + p_{P\neg QR} + p_{\neg PQR} + p_{\neg P\neg QR} > 1/2) \text{ and } (p_{PQR} < 1/2)$$

and

$$(p_{PQR} + p_{PQ\neg R} + p_{P\neg QR} + p_{P\neg Q\neg R} < 1/2) \text{ or } (p_{PQR} + p_{PQ\neg R} + p_{\neg PQR} + p_{\neg PQ\neg R} < 1/2) \text{ or}$$

$$(p_{PQR} + p_{P\neg QR} + p_{\neg PQR} + p_{\neg P\neg QR} < 1/2) \text{ or } (p_{PQR} > 1/2)$$

are each consistent strict conditions. The desired results then follow from lemma 1 and proposition 8a. **Q.E.D.**

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